



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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#5

In Re Application: Rollhaus et al.

Serial No.: 09/421,490

Filed: October 20, 1999

Title: **MACHINE-READABLE OPTICAL DISC WITH READING
INHIBIT AGENT**

Attorney Docket No.: 903.0001USV

Assistant Commissioner for Patents
Washington, D.C. 20231

Attention: Application Processing Division's Customer Correction Branch

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REQUEST FOR CORRECTED FILING RECEIPT

Sir:

Upon review of the above-identified filing receipt (copy enclosed), it is noted that there is a typographical error in one of the inventor's names.

Please correct "Daniel J. EHNTHOLDT" to "Daniel J. EHNTHOLT". A copy of the filing transmittal sheet is enclosed for your convenience.

We look forward to receipt of the corrected filing receipt in due course.

Respectfully submitted,

November 16, 1999

Harry F. Smith
Registration No. 32,493
Attorney for Applicant
Ohlandt, Greeley, Ruggiero & Perle, L.L.P.
One Landmark Square, 9th Floor
Stamford, CT 06901-2682

FILING RECEIPT



UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTORNEY DOCKET NO.	DRWGS	TOT CL AND CL
09/421,490	10/20/99	2754	\$380.00	903.0001USV	6	13

HARRY F SMITH ESQ
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Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts of Application" ("Missing Parts Notice") in this application, please submit any corrections to this Filing Receipt with your reply to the "Missing Parts Notice." When the PTO processes the reply to the "Missing Parts Notice," the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s) PHILIP E. ROLLHAUS, CHICAGO, IL; JOHN R. POWELL, ARLINGTON, MA; ERIC J. CARLSON, SUDBURY, MA; DANIEL J. EHNTHOLDT, HUDSON, MA; IRWIN C. WINKLER, ARLINGTON, MA; CHRISTOPHER J. MARMO, BOXBORO, MA; JAMES R. VALENTINE, READING, MA.

CONTINUING DATA AS CLAIMED BY APPLICANT-

THIS APPLN IS A DIV OF 08/902,844 07/30/97
PROVISIONAL APPLICATION NO. 60/026,390 09/16/96

IF REQUIRED, FOREIGN FILING LICENSE GRANTED 11/04/99 ** SMALL ENTITY *

TITLE
MACHINE-READABLE OPTICAL DISC WITH READING-INHIBIT AGENT

PRELIMINARY CLASS: 369

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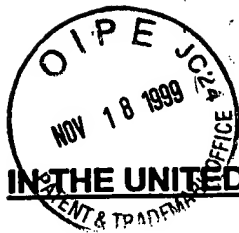
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OHLANDT, GREELEY,
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DATA ENTRY BY: JACKSON, MINNIE TEAM: 04 DATE: 11/04/99

(See reverse for new important information)



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Docket No. **903.0001USV**
Anticipated Classification of this Application
Class: Subclass:
Prior Application: **08/902,844** filed **July 30, 1997**
Examiner: **D. Davis** Art Unit: **2754**

Box Patent Application
Assistant Commissioner for Patents
Washington, D.C. 20231

EL 400392118US

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Sir:

This is a request for filing a continuation-in-part XX continuation XX divisional application under 37 CFR 1.53(b), of pending prior application, Serial No. 08/902,844 filed on July 30, 1997 of Philip E. Rollhaus, John R. Powell, Eric J. Carlson, Daniel J. Ehntholt, Irwin C. Winkler, Christopher J. Marmo and James R. Valentine for MACHINE-READABLE OPTICAL DISC WITH READING-INHIBIT AGENT

The filing fee is calculated below:

CLAIMS AS FILED, LESS ANY CLAIMS CANCELLED BY AMENDMENT BELOW				
FOR	NUMBER FILED	NUMBER EXTRA	RATE	BASIC FEE \$760.00
TOTAL CLAIMS	13 - 20 =	0	x \$18.00	\$0
INDEPENDENT CLAIMS	3 - 3 =	0	x \$78.00	\$0
MULTIPLE DEPENDENT CLAIM FEE			x \$260.00 =	
TOTAL FILING FEE			\$760.00	
1/2 FILING FEE FOR SMALL ENTITY			\$380.00	

1. XX A check in the amount of \$ 380.00 is enclosed.
2. XX The Commissioner is hereby authorized to charge any additional fees under 37 C.F.R. §§1.16 and 1.17 which may be required with this communication or during the entire pendency of the application, or credit any overpayment, to **Deposit Account No. 01-0467**. A duplicate copy of this Form is enclosed.
3. XX Cancel in this application original claim(s) 8-14 & 16-21 of the prior application before calculating the filing fee. (At least one original independent claim must be retained for filing purposes.)
4. XX A copy of the oath or declaration, formal drawings and a Small Entity Declaration and the application as filed in USSN 08/902,844 is enclosed herewith.

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5. XX Amend the specification by inserting before the first line the sentence: —
This is a continuation, XX division, of application serial no. 08/902,844, filed
July 30, 1997

6. XX The prior application is assigned of record to: SpectraDisc Corporation

7. XX The power of attorney in the prior application is to: Harry F. Smith

a. The power appears in the original papers in the prior application.

b. Address all future communications to : HARRY F. SMITH, ESQ.

OHLANDT, GREELEY, RUGGIERO & PERLE, L.L.P.

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8. XX A preliminary amendment is enclosed. (Claims added by this amendment have been properly numbered consecutively beginning with the number next following the highest numbered original claim in the prior application.)

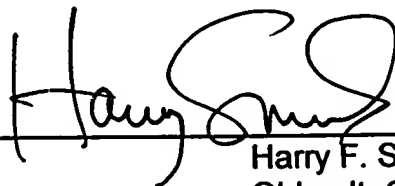
9. XX Priority of application Serial No. 08/902,844 filed on July 30, 1997 is claimed under 35 U.S.C. §120;

10. XX I hereby verify that the attached papers are a true copy of prior application serial no. 08/902,844 as originally filed on July 30, 1997.

The undersigned declares further that all statements made herein of his own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

October 20, 1999

(Date)



Harry F. Smith, Esq.

Ohlandt, Greeley, Ruggiero & Perle, L.L.P.

Reg. No. 32,493

Telephone: (203) 327-4500

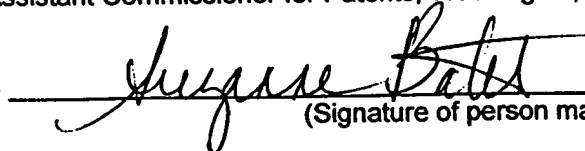
Telefax: (203) 327-6401

CERTIFICATE OF EXPRESS MAILING

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" Certificate No. EL400392118US, service under 37 CFR §1.10 and is addressed to: Box Patent Application, Assistant Commissioner for Patents, Washington, D.C. 20231, on October 20, 1999.

Suzanne Bates

(Typed name of person mailing paper)



(Signature of person mailing paper)